

jc531 U.S. PTO
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01-16-01 A

TRANSMITTAL FOR U.S. PATENT APPLICATION
UNDER 37 CFR §1.53(b)

Box Patent Application
ASSISTANT COMMISSIONER
OF PATENTS
Washington, D.C. 20231

Attorney's Docket: 066241.0117

jc841 U.S. PTO
 09/15/06
 01/12/01

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Keith A. Lowery, et al.

For: METHOD AND SYSTEM FOR DYNAMIC DISTRIBUTED DATA CACHING

X Pursuant to 37 C.F.R. § 1.213(a), Applicant(s) respectfully requests that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicant(s) hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are:

- (1) 89 pages of Specification, Claims and Abstract;
- (2) 4 pages of Executed Declaration and Power of Attorney;
- (3) 11 pages of Formal Drawings;
- (4) 1 page of executed Verified Statement Claiming Small Entity Status under 37 C.F.R. §§ 1.9(f) and .1.27(c)—Small Business Concern;
- (5) 4 pages of an Assignment of the invention to epicRealm Inc.;
- (6) 2 pages of a separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h);
- (7) 2 pages of an Information Disclosure Statement with PTO-1449 Form and attached references (10 references);
- (8) 2 pages of a Preliminary Amendment;
- (8) 1 page of a Certificate of Mailing by Express Mail No.: EL759174439US; and
- (9) 2 pages of this Transmittal Form with attached patent application filing fee in the amount of \$1,600.00.

| FEE CALCULATION | | | | | FEE |
|--------------------|--------|----|--------------|----------|------------|
| | Number | | Number Extra | Rate | Basic Fee |
| Total Claims | 105 | 20 | 85 | X \$ 9 = | \$ 765.00 |
| Independent Claims | 15 | 3 | 12 | X \$40 = | \$ 480.00 |
| TOTAL FILING FEE = | | | | | \$1,600.00 |

Enclosed is a check in the amount of \$1,600.00 for the filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P. **A duplicate copy of this sheet is enclosed.**

BAKER BOTTS L.L.P.
Attorneys for Applicants



Matthew B. Talpis
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jc841 U.S. PTO
09/759406

01/12/01

Date: January 12, 2001

Attorney's Docket No.:
066241.0117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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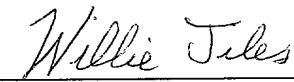
In re Application of: Keith A. Lowery, et al.
Filing Date: January 12, 2001
Title: METHOD AND SYSTEM FOR DYNAMIC
DISTRIBUTED DATA CACHING

Box: Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Filing Fee Transmittal (2 pages), Patent Application (89 pages), a check in the amount of \$1,600.00, Declaration and Power of Attorney (4 pages), Formal Drawings (11 pages), Assignment Cover Sheet (2 pages), Assignment (4 pages), a check in the amount of \$40.00, Information Disclosure Statement (2 pages), PTO-Form 1449 (1 page) (with 10 references), Preliminary Amendment (2 pages), Verified Statement (1 page), and a Baker Botts L.L.P. return receipt postcard (1 card) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 12th day of January, 2001, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Willie Jiles

Willie Jiles

Express Mail Receipt
No. 759174439US
Attorney Docket No.
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